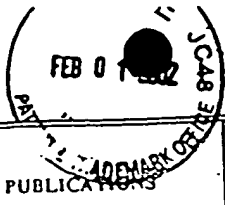


BEST AVAILABLE COPY

App # 09/957,983

Sheet (1/8)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION ENCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO: 563.2-6769		Application No. 09/497,278	
				APPLICANT: Brian J. Brown et al.			
				FILING DATE: November 20, 1998		GROUP: 328	
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAM'S INTT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	5,591,197	1/7/97	Orth et al.	606	198	
	AB	5,697,971	12/16/97	Fischell et al.	623	1	
	AC	5,718,713	2/17/98	Frantzen	606	198	
	AD	5,735,893	4/7/98	Lau et al.	623	1	
	AE	5,741,327	4/21/98	Frantzen	623	1	
	AF	5,755,776	5/26/98	Al-Saadon	623	1	
	AG	5,755,781	5/26/98	Javaraman	623	1	
	AH	5,776,161	7/7/98	Gleberman	606	194	
	AI	5,776,183	7/7/98	Kanesaka et al.	623	1	
	AJ	5,807,404	9/15/98	Richter	623	1	
	AK	5,818,872	9/22/98	Kanesaka et al.	606	198	
VB	AL	5,824,059	10/20/98	Wijay	623	1	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
VB	BA	297 01 758	3/27/97	DE			
	BB	297 02 671 U1	4/10/97	DE			
	BC	297 08 689 U1	7/17/97	DE			
VB	BD	297 08 879 U1	7/31/97	DE			
VB	BE	0 821 920 A1	2/4/98	EP			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
VB	CA	Beyar et al., "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).					
VB	CB	Beyar et al., "Newer Stents: Materials and Designs", <i>IACS Proceedings</i> , 9(5): 363-371 (June 1997).					
VB	CC	Roguin et al., "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).					
VB	CD	Roguin et al., "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).					
EXAMINER <u>Mal</u>				DATE CONSIDERED <u>3/29/05</u>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



Appl. # 09/957,983.

Sheet (2/8)

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

SERIAL NO. 1097192-113

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

FEB -5 2002
GROUP: 3731

IC 3700 MAIL ROOM

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
1/13	BA 96/26689	9/6/96	WO				
1/13	BB 98/20810	5/22/98	WO				
1/13	BC 297 16 476 U1	2/5/98	DE				
1/13	BD 0 876 806 A1	11/11/98	EP				
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	
CB	
CC	

EXAMINER

DATE CONSIDERED

3/29/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 1 of 1

Appl. # 887111,076
09/957,983

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY DOCKET NO: 563.2-5605		Serial No: 887111,076		
				APPLICANT: Brian J. Brown, Michael L. Davis		FILING DATE: August 3, 1995		
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS				
EXAM'S		PATENT NUMBER	ISSUE	PATENTEE	CLASS	SUBCLASS	FILED DATE IF APPROVED	
	AA	4,552,545	11/19/1983	Niass et al.				
	AB	4,733,665	03/29/1988	Palmaz				
	AC	4,739,762	07/26/1988	Palmaz				
	AD	4,776,337	10/11/1988	Palmaz				
	AE	4,850,803	05/16/1989	Wolff et al.				
	AF	4,556,516	08/15/1989	Hillstead				
	AG	4,886,062	12/12/1989	Wiktor				
	AH	4,913,141	04/03/1990	Hillstead				
	AI	4,994,071	02/19/1991	MacGregor				
	AJ	5,019,090	05/28/1991	Pinchuk				
	AK	5,035,706	07/30/1991	Gianureo et al.				
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION								
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	VB	BA 0 606 165 A1	07/13/1994	EPO			X	
		BB 0 540 290 A2	05/05/1993	EPO			X	
		BC 0 364 787 B1	04/25/1990	EPO			X	
		BD WO 94/17754	03/18/1994	PCT			X	
	VA	BE 0 541 443 A1	05/12/1993	EPO			X	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	CA	Manufacturing Processes for Engineering Materials, by Serepe Katpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.						
	CB	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol. 79, No. 2, February 1989, pp. 445-457.						
	CC	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.						
EXAMINER <i>[Signature]</i>				DATE CONSIDERED 3/29/85				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

Sheet
Appl. # 09/957,983 (4/8)

FEB 01 2002

FORM ITO-149 (Revised) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE (Use several sheets if necessary)				ATTY DOCKET NO: 563.2-3605		Serial No: 0854470	
APPLICANT: Brian J. Brown, Michael L. Davis				FILING DATE: August 3, 1995		Group: 3308	

RECEIVED
FEB - 5 2002
10:57:00 AM

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S ENT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE
VB	AA	5,059,211	10/22/1991	Sork et al.			
	AB	5,102,217	04/03/1992	Polnatz			
	AC	5,104,404	04/14/1992	Wolff			
	AD	5,133,732	07/25/1992	Wilner			
	AE	5,135,536	08/04/1992	Hillstead			
	AF	5,195,934	03/23/1993	Schurz			
	AG	5,197,978	03/30/1993	Hess			
	AH	5,282,823	02/01/1994	Schwartz et al.			
	AI	5,292,331	03/08/1994	Bonezu			
	AJ	5,304,300	04/19/1994	Spaulding			
VB	AK	5,449,373	09/12/1995	Pinchasik et al.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
VB	AL	6-4175	03/06/1994	Japan				
	AM							
	AN							
	AO							
	AP							

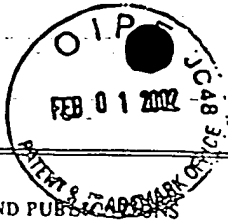
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AR	Japanese Infringement Search on Articulated Expandable Stems, Dated July 12, 1995. Not found.
VB	AS	ENGINEERING FLUID MECHANICS, Third Edition, John A. Robertson and Clayton T. Crowe, pp. 52 and pp 414-421
VB	AT	Cambridge Dictionary of Science and Technology, Cambridge University Press, 128

EXAMINER: <i>PM</i>	DATE CONSIDERED: 3/29/05
---------------------	--------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

F:\WORK\FORMS\PTO-149.FRM

Page: 2 of 2



Appl. # 09/57,983

Sheet (5/8)

RECEIVED

SERIAL 2862-09497,278

VB

FEB 3 1999 MAIL ROOM GROUP: 3738

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769
APPLICANT: Brian J. Brown et al.
FILING DATE: November 20, 1998

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
VB	BA	298 16 878 U1	12/24/98	DE			(Abstract)	
	BB							
	BC							
	BD							
	BE							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	
	CB	
	CC	

EXAMINER

VB

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FEB 0 1

3048

Sheet (6/8)
09/957,983

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: 563.2-5605	Serial No: 084511076
		APPLICANT: Brian J. Brown, Michael L. Davis	RECEIVED
		FILING DATE: August 3, 1995	Sup: 3308
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
VB	CA	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., JOURNAL OF CLINICAL ENGINEERING, Vol. 11, No. 4, July-August 1986, pp. 291-296.	
	CB	Self-expanding Stainless Steel Biliary Stents, By Harold G. Coons, MD, RADIOLOGY 1989, Vol. 170, No. 3, Part 2, pp. 979-983.	
	CC	Technical Note Entitled Modifications of Gianturco Expandable Wire Stents, By Barry T. Uchida et al., AJR, Vol. 150, May 1988, pp. 1185-1187.	
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents.	
	CE	Expandable Biliary Endoprosthesis: An Experimental Study, By Carrasco et al., AJR, Vol. 145, December 1985, pp. 1279-1282.	
	CF	Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial, By Irving, et al., Interventional Radiology, Vol. 172, No. 2, August 1989, pp. 321-326.	
	CG	Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications, Work In Progress, By Wallace et al., RADIOLOGY, February 1986, pp. 309-312.	
	CH	Brochure Entitled AVE MICRO STENT, Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.	
VB	CI	Brochure Entitled MICRO STENT, By Applied Vascular Engineering, Inc.	
	CJ		
	CK		
	CL		
	CM		
	CN		
	CO		
	CP		
	CQ		
EXAMINER		DATE CONSIDERED 3/29/05	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Sheet (7/8)

09/957,983



FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO.: S63.2-6769 RECEIVED APPLICANT: Brian J. Brown et al.		APPLICATION NO.: 69/197,278 VB	
				FILING DATE: November 20, 1998 FEB - 6 2002		GROUP: 3731	
REFERENCE DESIGNATION				U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
VB	AA	6,068,656	5/30/00	Von Orpen	623/1:17	www.bvnd	
VB	AB	6,129,755	10/10/00	Mathis et al.	623/1:15	Gautier	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY			
	BA						
	BB						
	BC						
	BD						
	BE						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
VB	CA		SMART TM Sign Brochure, Cordis, a Johnson & Johnson company, date unknown.				
	CB						
	CC						
EXAMINER <i>phur</i>				DATE CONSIDERED 3/29/05			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



Sheet (8/8)
App # 09/957,983 VB

FORM PTO-1449 (Revised)		ATTY. DOCKET NO: 563.2-6769		Application No: 11/11/11				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: Brian J. Brown et al.		1037-6-2002				
		FILING DATE: November 20, 1998		Group: 2002				
REFERENCE DESIGNATION								
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION								
EXAMINER'S INIT.		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
1/15	BF	97/04721	2/13/97	WO				
	BG	97/14375	2/24/97	WO				
	BH	97/25937	7/24/97	WO				
	BI	97/32543	9/12/97	WO				
	BJ	97/32544	9/12/97	WO				
	BK	97/33534	9/18/97	WO				
	BL	97/40780	11/6/97	WO				
	BM	97/40781	11/6/97	WO				
	BN	97/40782	11/6/97	WO				
✓	BO	97/40783	11/6/97	WO				
1/15	BP	97/40874	11/6/97	WO				
	BQ							
	BR							
	BS							
	BT							
	BU							
	BV							
	BW							
	BX							
	BY							
	BZ							
EXAMINER: <i>[Signature]</i>		DATE CONSIDERED: 3/24/05						
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.